

# Faster Steiner Tree Computation in Polynomial-Space

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# Exact Exponential Time Algorithms

(try to) cope with NP-hardness

- solve NP-hard problems by producing an (optimal) solution for **all inputs**
- **worst-case** running time analysis

- dating back to the early sixties [Davis Putnam 1960] and [Held Karp 1962]
- major design techniques : branching algorithms, dynamic programming, inclusion exclusion, treewidth based algorithms

# Polynomial vs. Exponential Space

## typical space requirements

- Branching algorithm : polynomial or even linear space
- Dynamic programming, Treewidth based : exponential space

## Why polynomial space ?

- algorithms of very high space complexity unlikely to be fast in practice
- main reason : frequent external memory accesses
- phenomena not captured by standard RAM model

# Polynomial vs. Exponential Space

## Goal

Searching for algorithms with low memory requirements, even if they are asymptotically slower than their exponential-space counterpart.

For various NP-hard problems the best known exponential time algorithm need exponential space complexity :

Travelling Salesman problem, Coloring, Treewidth, Pathwidth, Steiner Tree, etc.

Polynomial-space exponential time algorithms :

Hamiltonian Path [Bax 1993, Gurevich Shelah 1987, Karp 1982], Coloring [Björklund Husfeldt ICALP 2006, Björklund Husfeldt FOCS 2006], etc.

# The Steiner tree problem (ST)

The *Steiner tree problem* is a well-known optimization problem.

## Steiner Tree problem (ST)

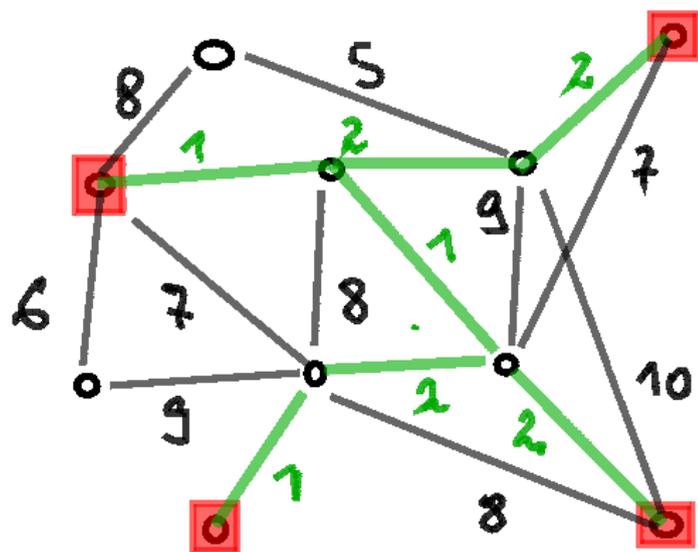
**Input :** Connected graph  $G = (V, E)$  on  $n = |V|$  nodes, edge costs  $c : E \rightarrow \mathbb{R}^+$  and a set  $T \subseteq V$  of  $k = |T|$  terminals  
( $k$  natural parameter)

**Objective :** Find a subtree  $S$  of  $G$  spanning  $T$  such that the cost of  $S$  (i.e. the total cost of its edges) is minimum.

## Cardinality Steiner Tree problem (CST)

(ST) where all edges of input instance have cost 1

# Example



Cost = 11

# Fixed parameter tractable algorithms

## FPT algorithms ( $k \ll n$ )

- classical  $O^*(3^k)$  time dynamic programming algorithm [Dreyfus Wagner 1972] for (ST) :  
*"probably most popular algorithm for solving different variants of the Steiner tree problem in practice"*
- for any constant  $\epsilon > 0$ ,  $O^*((2 + \epsilon)^k)$  time dynamic programming algorithm [Möller et al. STACS 2006]
- $O^*(2^k)$  time algorithm using fast subset convolution for the version of (ST) where edges have bounded integer weights [Björklund et al. STOC 2007]

All known fixed parameter algorithms need exponential space  
(for large  $k : k = \omega(\log n)$ )

# An exponential-time polynomial space algorithm I

## Observation

- Each leaf of any optimal Steiner tree is a terminal.
- Thus an optimal Steiner tree has no more than  $k$  Steiner nodes  $T'$  of degree greater than 2.
- Given  $T'$ , the Steiner tree problem is equivalent to the polynomial time solvable minimum spanning tree problem on  $G_M[T \cup T']$ , where  $G_M$  is the metric closure of  $G$ .

## Algorithm

List all subsets  $T' \subseteq N := V \setminus T$  of size at most  $k$ , and for each one apply above observation.

# An exponential-time polynomial space algorithm II

## Time

$O(\sum_{i=1}^k \binom{n-k}{i} n^{O(1)})$  implying ...

for  $k \ll n$ , this running time is  $O(n^k)$ .

## Running Time

The enumeration algorithm has running time  $O(1.6181^n)$  (for arbitrary values of  $k$ ).

# Our results : Polynomial space algorithms

## Divide & Conquer

- $O(5.96^k n^{O(\log k)})$  time and polynomial space algorithm using a simple variant of the classical tree-separator theorem
- works also for (ST)

## Branching algorithm

- Branching algorithm with running time  $O(1.5949^n)$  time needing polynomial space using a charging mechanism
- Measure & Conquer time analysis
- works only for (CST)

# Outline

- 1 Introduction
- 2 The Dreyfus Wagner algorithm
- 3 Divide & Conquer algorithm using tree separators
- 4 Branching algorithm using a charging mechanism
- 5 Conclusions

## The Dreyfus Wagner algorithm : Main Idea

Let  $S$  be a Steiner tree of  $(G, T)$ ,  $|T| \geq 3$ . Take an internal node  $s \in S$ , not necessarily a terminal, such that the subtrees of  $S$  rooted at  $s$  can be partitioned in two forests  $\mathcal{R}_1$  and  $\mathcal{R}_2$ , each one containing at least one terminal.

Let  $S$  be an optimum Steiner tree of  $(G, T)$ ,  $s \in S$  an internal node and  $T_i$  be the terminals in  $\mathcal{R}_i$ ,  $i \in \{1, 2\}$ . If we compute the optimum Steiner trees on  $(G, T_1 \cup \{s\})$  and on  $(G, T_2 \cup \{s\})$ , and we merge them, we obtain an optimum Steiner tree for  $(G, T)$ .

Of course we do not know  $s$  nor  $(T_1, T_2)$  a priori, but we can guess them by enumerating all the possible cases.

⇒ DYNAMIC PROGRAMMING

# The Dreyfus Wagner algorithm : Dynamic Programming

## Minimum cost

$st_G(T) = st(T)$  is the *minimum cost* of a Steiner tree of  $G$  on terminal set  $T$

## Recurrence D&W

$$st(T) = \min_{s \in V} \min_{(T_1, T_2) \in \mathcal{P}(s, T)} \{st(T_1 \cup \{s\}) + st(T_2 \cup \{s\})\}$$

where  $\mathcal{P}(s, T)$  is the set of possible partitions  $(T_1, T_2)$  of  $T \setminus \{s\}$  in two non-empty subsets

Dreyfus Wagner algorithm applies recurrence D&W to any subset of  $T$ , in a bottom-up fashion, storing each partial solution computed for later computations.

# The Dreyfus Wagner algorithm : Space and Time Complexity

## Exponential space

Storing the partial solutions takes  $\Omega(2^k)$  space.

## Time Complexity

For each subset  $T$  of size at most  $k$ , apply recurrence D&W. Thus the running time is

$$n \cdot \sum_{i=1}^k \binom{k}{i} 2^i = n \cdot 3^k$$

# Bottom-up $\Rightarrow$ Top-down

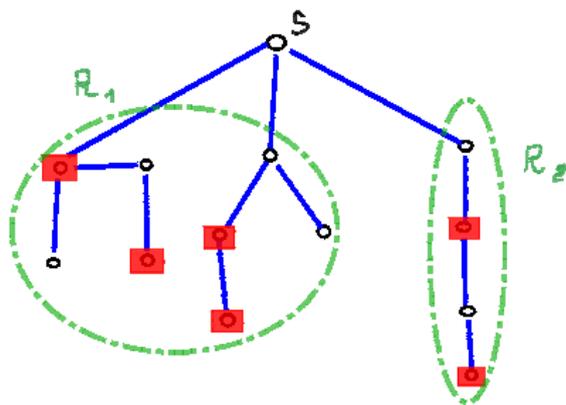
Easy transformation of Dreyfus Wagner algorithm into a polynomial-space algorithm ?

- Apply recurrence D&W recursively, in a top-down fashion, without storing any partial solution.
- Approach leads to a very high running time.
- The main reason is that, by applying recurrence D&W, one generates some subproblems with almost the same number of terminals as in the original problem.

# Divide & Conquer : Tree separator theorem

Separator Lemma : [Bodlaender 1998]

Let  $S$  be a Steiner tree on the set of terminals  $T$ ,  $|T| = k \geq 3$ . Then there exists an internal node  $s \in S$  (Steiner-separator), not necessarily a terminal, whose removal divides the tree in two forests, each one containing at most  $2k/3$  terminals.



# Divide & Conquer algorithm I

By the separator lemma, in recurrence D&W we do not really need to consider all the partitions in  $\mathcal{P}(s, T)$

It is sufficient to consider only the subset  $\mathcal{B}(s, T) \subseteq \mathcal{P}(s, T)$  of (“almost balanced”) partitions  $(T_1, T_2)$  where  $|T_1| \leq |T_2| \leq 2k/3$  :

balanced recurrence

$$st(T) = \min_{s \in V} \min_{(T_1, T_2) \in \mathcal{B}(s, T)} \{st(T_1 \cup \{s\}) + st(T_2 \cup \{s\})\}$$

Using only balanced partitions does not help in Dynamic Programming ...

## Divide & Conquer algorithm II

Our recursive Steiner tree algorithm uses only "balanced partitions" :

**(base case)** If  $T = \{v\}$ , return  $v$ . If  $T = \{v, w\}$ , return the shortest path from  $v$  to  $w$ .

**(recursive case)** For every  $s \in V$  and for every partition  $(T_1, T_2)$  of  $T \setminus \{s\}$ ,  $|T_1| \leq |T_2| \leq 2k/3$ , compute recursively the optimum Steiner trees  $S_1$  and  $S_2$  over  $T_1 \cup \{s\}$  and  $T_2 \cup \{s\}$ , respectively. Return the cheapest Steiner tree  $S_1 \cup S_2$  obtained.

# Divide & Conquer : Space and Time

The Steiner tree algorithm takes time  $O((27/4)^k n^{O(\log k)})$  and polynomial space.

## Basic ideas of time analysis

- recursion depth  $O(\log k)$
- $P(k)$  number of base instances generated by the algorithm
- time complexity of algorithm  
 $O(\log k P(k) n^{O(1)}) = O(P(k) n^{O(1)})$
- remains to bound  $P(k)$

## Divide & Conquer : Bounding $P(k)$

$$\begin{aligned} P(k) &\leq n \sum_{i=k/2}^{2k/3} \binom{k}{i} (P(i+1) + P(k-i+1)) \leq 2n \sum_{i=k/2}^{2k/3} \binom{k}{i} P(i+1) \\ &\leq 2n P(2k/3 + 1) \sum_{i=k/2}^{2k/3} \binom{k}{i} \end{aligned}$$

By induction it can be shown that

$$P(k) \leq Cn^{c \ln k} \alpha^k$$

for  $\alpha = 27/4$  and sufficiently large constants  $C > 0$ ,  $c > 0$ .

# Branching Algorithm : Contraction

## Cardinality Steiner Tree (CST) only!

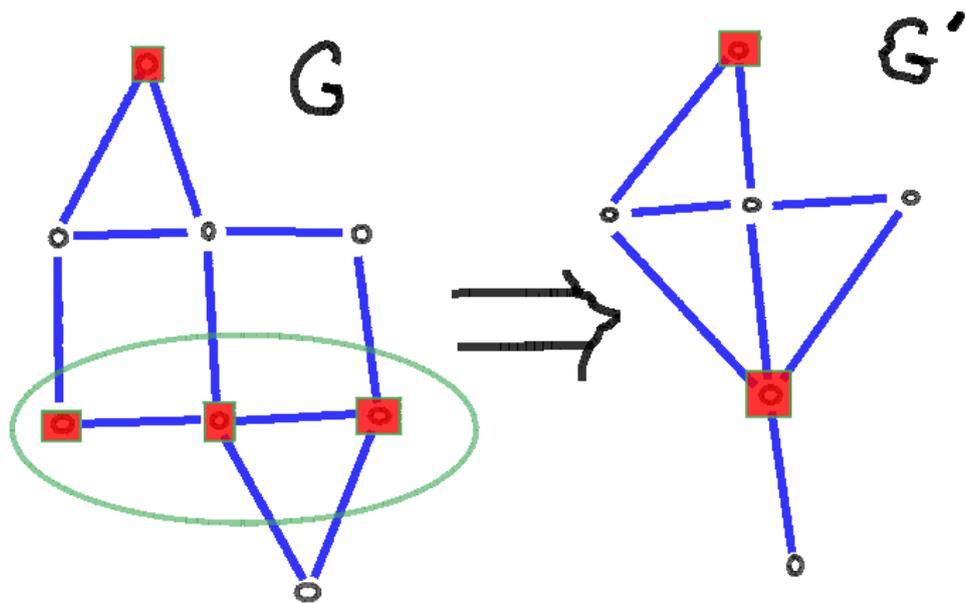
- $st_G(T)$  denotes the minimum number of edges of a Steiner tree of graph  $G$  spanning the terminal set  $T$ .
- *contracting* a subset of nodes  $V'$ , means (i) removing  $V'$  from the graph, (ii) add a new node  $v'$ , and (iii) add one edge between  $v'$  and each neighbor of  $V'$  not in  $V'$ .

### Contraction Lemma

Let  $(G, T)$  be an instance of (CST). Let  $V'$  be a connected component of terminals,  $G'$  be the graph resulting from contracting  $V'$  in a unique node  $v'$ , and  $T' = T \cup \{v'\} \setminus V'$ .

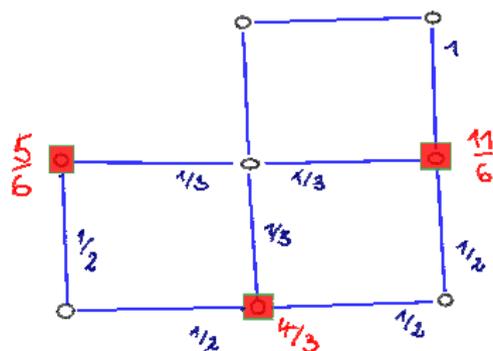
$$st_G(T) = |V'| - 1 + st_{G'}(T')$$

# Example



# Branching Algorithm : Charging

- 1 Initially assign load one to each non-terminal  $s$ .
- 2 Each non-terminal  $s$  evenly distributes its load among the terminals adjacent to it.
- 3 The final load  $w(t)$  of each terminal  $t$  is the sum of the loads received by its non-terminal neighbors.



# Branching Algorithm I

**base** If  $|T| \in \{0, 1\}$ , trivially  $st_G(T) = 0$  :

**contraction** If there is a connected component  $V'$  of at least 2 terminals, apply the contraction lemma.

**reduction** If there is a terminal  $t$  adjacent to a unique (non-terminal) node  $s$ , add  $s$  to the terminals since  $s$  must belong to any Steiner tree.

**small k** If  $k \leq n/4$ , apply  $O(5.96^k n^{O(\log k)})$  Divide & Conquer polynomial space algorithm.

# Branching Algorithm II

**simple branch** Assume a non-terminal  $s$  is adjacent to at least 3 terminals. Branch by either removing  $s$  from the graph, or by adding it to the terminals.

$$\text{steiner}(G, T) = \min\{\text{steiner}(G \setminus \{s\}, T), \text{steiner}(G, T \cup \{s\})\}$$

**multiple branch** Let  $t$  be a terminal of minimum load  $w(t)$  (always less than 3). Let  $s_1, s_2, \dots, s_p$  be the (not-terminal) neighbors of  $t$ , sorted in decreasing number of adjacent terminals. Branch to the  $p$  subproblems obtained by removing  $s_1, s_2, \dots, s_{i-1}$ , and adding  $s_i$  to the terminals, for  $i \in \{1, 2, \dots, p\}$ .

$$\text{steiner}(G, T) = \min_{i \in \{1, 2, \dots, p\}} \{\text{steiner}(G \setminus \{s_1, \dots, s_{i-1}\}, T \cup \{s_i\})\}$$

# Branching Algorithm : Time Analysis

Feasible values of  $(m_1, m_2, \dots, m_p)$  for multiple branch, with corresponding load (strictly smaller than 3) and number of nodes removed in each subproblem

$(m_1, \dots, m_p)$	load	nodes removed
(1, 1)	4/2	1, 2
(2, 1)	3/2	2, 2
(2, 2)	2/2	2, 3
(2, 1, 1)	5/2	2, 2, 3
(2, 2, 1)	4/2	2, 3, 3
(2, 2, 2)	3/2	2, 3, 4
(2, 2, 2, 1)	5/2	2, 3, 4, 4
(2, 2, 2, 2)	4/2	2, 3, 4, 5
(2, 2, 2, 2, 2)	5/2	2, 3, 4, 5, 6

# Branching Algorithm : Measure & Conquer

## Measure & Conquer

- Measure of instance  $(G, T)$  of a subproblem is  $h := n + n_N$  where  $n$  number of nodes of  $G$  and  $n_N$  the number of non terminals.
- Running time  $O(1.6011^n)$  (and polynomial space)

## Refined Analysis of **same algorithm**

- Measure of instance  $(G, T)$  of a subproblem is  $h := n + \alpha n_N$  for proper  $\alpha > 0$
- Choosing  $\alpha = 0.7297$
- Running time  $O(1.5949^n)$  (and polynomial space)

# Conclusions I

## Our result

$O(5.96^k n^{O(\log k)})$  time and polynomial space Divide & Conquer algorithm for (ST)

## Open Problem

Fixed parameter tractable polynomial space algorithm for (ST)

# Conclusions II

## Our result

$O(1.5949^n)$  time polynomial space branching algorithm for (CST)

## Open problems

- Extend branching algorithm to (ST)
- Polynomial space branching algorithm for (ST) being faster than enumeration ( $O(1.6181^n)$ )

# Exponential space algorithms

Best known previous to our work :  $O(1.4143^n)$  for (ST)

Combining enumeration (for large  $k$ ) with the FPT algorithm of [Möller et al. STACS 2006] (for small  $k$ )

$O(1.3533^n)$  for (CST)

- Branching algorithm : Replacing our polynomial space Divide & Conquer algorithm by FPT algorithm of [Möller et al. STACS 2006]
- Measure & Conquer time analysis



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